



DEVELOPING HISPANIC- SERVING INSTITUTIONS



TECHNICAL ASSISTANCE
WORKSHOP
U.S. DEPT. OF EDUCATION

Outline

- Title V background and purpose
- Distinction from Title III
- What's new in FY 2000?
- Key Dates
- Who can apply for Title V?
- Eligibility
- Applying for and getting a Title V grant
- Discussion
- Questions

HEA 1998, Title V

- Expand educational opportunities and improve academic attainment of Hispanics
- Expand and enhance academic offerings, program quality, and institutional stability of HSIs, *BECAUSE*

HSIs serve large numbers of Hispanics, minority, and low-income students.

Purpose of Title V Grants

To assist HSIs to plan, develop, undertake and carry out:

**PROGRAMS TO IMPROVE AND
EXPAND HSIs' CAPACITY TO SERVE
HISPANICS AND OTHER LOW
INCOME STUDENTS.**

Differences From Title III

- Different Title and new funding possibilities
- Additional eligibility requirements
- Greater range of allowable activities
- Collaborative arrangement with LEA or CBO is a statutory priority

What's new in FY 2000?

- **Increased funds for Title V**
- **Planning grant option**
- **Electronic Field Reading (EFR)**
- **Capacity building curriculum for grantees**

FY 2000 Funds for Title V

- **\$42.5 million** *of which*
- \$26 million towards **new** awards
 - Development grants
 - Award range: \$350,000 to \$400,000 per year
 - About \$2 million per 5-year grant
 - About 68 new awards in 2000
 - Planning grants
 - Award range: \$30,000 to \$35,000 for one year
 - About 13 planning grants in 2000

Planning Grant Option

Apply for a one-year planning grant, and then apply for a 5-year development grant in the 2001 competition, if:

- You do not yet have a Comprehensive Development Plan
- You can use \$30,000 to \$35,000 to put a CDP together over the next year

Electronic Field Reading

- Title V leads the way for the Department
- Title V EFR is coordinated by an OPE interoffice task force
- New procedures and protocols for applicants and readers

**Electronic Field Reading
demands page limits and diskette submission**

(see hand-out on technical notes)

Capacity Building Curriculum

After you get the grant, OPE will offer:

- Grants management seminars
- Networking opportunities among project directors
- Training in peer review
- Collaboration from HEP area representatives

KEY DATES Title V application

- **Closing date: early March**
- **Electronic Field Reading: April, 2000**
- **FY 2000 awards announced: May, 2000**
- **FY 2000 funds start: October 1, 2000**

(see hand-out on key dates)



Who can apply for Title V in 2000?



- 1) Eligible institutions
- 2) Department of Education eligibility
- 2) HSI certification

Institutions eligible to apply in FY 2000

- Certified HSI that does not have a Title III or Title V grant at present

OR

- Certified HSI whose current Title III grant runs out 9/30/2000

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What does “certified” mean?



Two Stages of Certification

- 1) IDUES Eligibility Certification (**due Feb. 4, 2000**)
 - Your institution must be certified as an eligible institution to receive federal grant funds by the Department of ED
 - based on institution-wide student FTE
- 2) HSI Certification (**due with Title V application in March**)
 - Mandatory for Title V grant eligibility
 - based on Hispanic student FTE

IDUES Eligibility Certification

- Accredited or pre-accredited nonprofit institution of higher education
- Offers AA and/or BA degrees
- Low expenditures per student
- High percentage of needy students

Call (202) 502-7777 if you have questions on eligibility

FY 2000 Eligibility filing deadline:

Feb. 4, 2000

<http://sii.violin.com/title3/index.html>

HSI Certification

In addition to all the previous conditions:

- At least 25% Hispanic FTE
- At least 50% of Hispanic students must be low-income

The deadline is the same as the Title V grant application deadline - **early March**

**(202)502-7562 if you have questions on HSI eligibility
FY 1999 Title V application with certification form is at
<http://www.ed.gov/hsi>**



Applying for Title V



- **Application Options**
- **Statutory Priority**
- **Allowable Activities**
- **Selection Criteria**

APPLICATION OPTIONS

- Apply for a one-year planning grant
- An HSI may apply for an individual development grant AND/OR as the leader of a cooperative arrangement with other institutions

NOTE: An institution may apply for both Title III and Title V, but may only receive one

Statutory Priority

HSIs with collaborative arrangements with LEAs or CBOs to assist their efforts to:

- reduce Hispanic dropout rates
- improve academic achievement
- increase college going rates for Hispanics
- use NON-Title V funds

Allowable Activities

Planning Grant

- Formulate a comprehensive development plan (CDP)
- Write the Title V development grant application

Allowable Activities

Development Grant

- Purchase equipment for education or research
- Improve instruction facilities [construction, maintenance, renovation]
- Faculty and staff development
- Curriculum revision and development
- Purchase educational materials

Allowable Activities cont.

- Strengthen telecommunications capacity
- Enhance student services
- Strengthen administrative and funds management systems
- Establish or improve a development office
- Community outreach programs for elementary and secondary students

Allowable Activities cont.

- Establish or increase the institutional endowment fund
 - use up to 20% of total 5-year Title V funds
 - match dollar for dollar with non-Federal funds before you can draw down Title V funds
 - invest both grant and matching funds for 20 years

Title V May NOT Fund

- Activities not included in your application
- Activities inconsistent with applicable State higher education plans
- Sectarian instruction or religious worship
- Non-degree or non-credit courses EXCEPT basic skills development courses

Title V May NOT Fund cont.

- Standard purchases, courses, and activities
- OPERATIONAL COSTS
- Salaries for college-wide officials
- Costs of organized fund raising

SELECTION CRITERIA

- Quality of comprehensive development plan [30 pts.]
 - analysis of institutional strengths, weaknesses, and problems
 - realistic, well thought-out goals
 - measurable, goal-related objectives for growth and self-sufficiency
 - institutionalization of project achievements

SELECTION CRITERIA

- Quality of activity objectives [10 pts.]
 - realistic and measurable
 - directly related to problems and goals

SELECTION CRITERIA

- Quality of implementation strategy [25 pts.]
 - comprehensiveness
 - clear and documented rationale
 - realistic activity timetable

SELECTION CRITERIA

- Quality of key personnel [10 pts.]
- Quality of project management plan [10 pts.]
- Quality of evaluation plan [10 pts.]
- Budget [5pts.] [costs must be necessary and reasonable in relation to project objectives]



THINK BIG!



DEVELOPMENT
or better yet
TRANSFORMATION

Title V Contact Information

Title V

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**Download applications on the
web at:**

<http://www.ed.gov/hsi>

Eligibility

Ellen Sealey

phone (202) 502-7777

email: ellen_sealey@ed.gov

**Submit applications on the
web at:**

<http://sii.violin.com/title3/index.html>

Reader Training FY 2000

We will advise readers that we have different applications with the new page limits

- the number of activities will determine the number of pages devoted to the development of each activity
- EVERY institutional goal can not be fully addressed in the CDP, therefore only those pertinent to the Title V activities can be presented
- key personnel resumes will be truncated
- the relationship of page limits and the selection criteria

Reader Training FY 2000 cont.

Selection Criteria -

- The Title V selection criteria needs to be revised to reflect the page limits
 - Reader feedback
 - Institutional feedback

Other issues or concerns for reader training?

Endowment Information

Title V Funds can be used to establish or increase the institutional endowment fund

- use up to 20% of total 5-year Title V funds
 - budget for it all in one year or over the five years
- match dollar for dollar with non-Federal funds **before you can draw down Title V funds**
- invest the grant and matching funds for 20 years
 - spend up to half of the income from the invested funds during the 20 years
 - allowed to spend the capital and interest after 20 years or continue the investment